

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

KOICHIRO WATANABE

Appln. No. 09/123,123

Filed: July 27, 1998

For: AUDIO CONTROL SIGNAL  
TRANSMISSION APPARATUS AND  
RECEPTION APPARATUS, CONTROL  
SYSTEM AND CONTROL METHOD  
USING AN AUDIO CONTROL  
SIGNAL, PROGRAM INFORMATION  
TRANSMISSION APPARATUS AND  
TRANSMISSION METHOD, AND  
PROGRAM RESERVATION  
APPARATUS AND PROGRAM  
RESERVATION METHOD

Group Art Unit: 2745

Examiner: NOT ASSIGNED

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION AND SUBMISSION  
OF COMBINED DECLARATION AND POWER  
OF ATTORNEY AND FORMAL DRAWINGS**

2001 Ferry Building  
San Francisco, CA 94111  
415/433-4150

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on October 8, 1998.

LIMBACH & LIMBACH L.L.P.

Date: Oct. 8, 1998

By: 

Name: Janet Chan

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed August 20, 1998 (copy enclosed), applicant submits the enclosed Declaration for Patent Application and Power of Attorney and twenty-six (26) sheets of formal drawings.

A check in the amount of \$130 is enclosed herewith to cover the \$130 surcharge for filing missing parts of an application.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

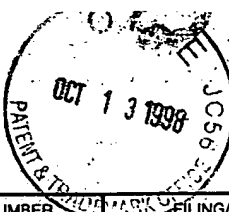
October 8, 1998  
(Date)

By: 

Charles P. Sammut  
Registration No. 28,901

SONY-P8776  
(S98P0776US00)

Attorneys for Applicant(s)



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/123,123	07/27/98	WATINABE	776

0262/0820

CHARLES P. SAMMUT  
LIMBACH AND LIMBACH  
2001 FERRY BUILDING  
SAN FRANCISCO CA 94111-4262

NOT ASSIGNED

2745

DATE MAILED:

08/20/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION

**Filing Date Granted**

*response due 10/20/98*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer-Service Center  
Initial Patent Examination Division (703) 308-1202

10/19/1998 BHINES 00000006 09123 130.00 DP 01 FC:105